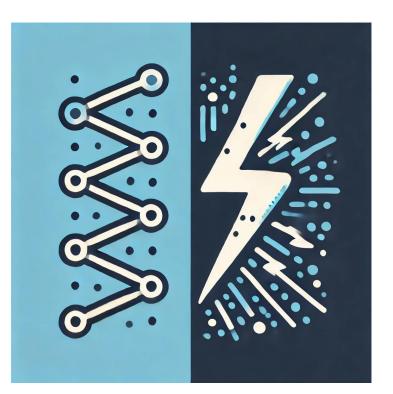
Empirical Software Engineering Research in the Age of LLMs



Christoph Treude



Empirical SE

"Empirical software engineering is the study of **software-related artifacts** for the characterization, understanding, evaluation, prediction, control, management, or improvement through qualitative or quantitative analysis." [Harrison and Basili]



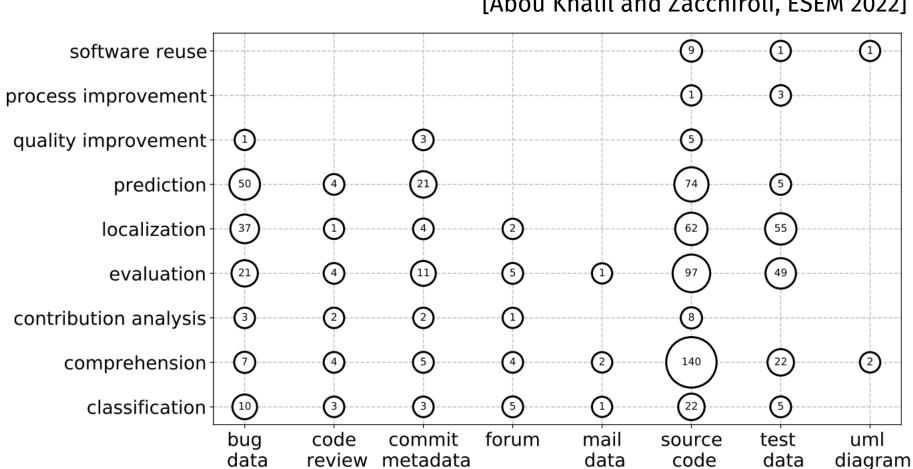
Barry Boehm · Hans Dieter Rombach Marvin V. Zelkowitz (Eds.)

Foundations of Empirical Software Engineering

The Legacy of Victor R. Basili

✓ Springer

Software Artifact Mining



[Abou Khalil and Zacchiroli, ESEM 2022]

Software Artifact Mining

bug code commit forum mail source test uml data review metadata data code data diagram

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bug	code	commit	forum	mail	source	test	uml
data		metadata		data	code		diagram

Buglistener: identifying and synthesizing bug reports from collaborative live chats <u>L Shi</u>, F Mu, Y Zhang, <u>Y Yang</u>, <u>J Chen</u>, X Chen... - Proceedings of the 44th ..., 2022 - dl.acm.org In community-based software development, developers frequently rely on live-chatting to discuss emergent bugs/errors they encounter in daily development tasks. However, it remains ...

[ICSE 2022]

bug	code	commit	forum	m	ail	sou	irce	te	st	un	nl
data	review	metadata		da	ata	CO	de	da	ata	diag	gram

 Buglistener: identifying and synthesizing bug reports from collaborative live chats

 L Shi, F Mu, Y Zhang, Y Yang, J Chen, X Chen... - Proceedings of the 44th ..., 2022 - dl.acm.org

 In commidiscuss e

 Auger: Automatically generating review comments with pre-training models

 L Li, L Yang, H Jiang, J Yan, T Luo, Z Hua... - Proceedings of the 30th ..., 2022 - dl.acm.org

 Code review is one of the best practices as a powerful safeguard for software quality. In practice, senior or highly skilled reviewers inspect source code and provide constructive ...

[ESEC/FSE 2022]

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 Code revert

 practice,

 COME: Commit Message Generation with Modification Embedding

 Y He, L Wang, K Wang, Y Zhang, H Zhang... - Proceedings of the 32nd ..., 2023 - dl.acm.org

 Commit messages concisely describe code changes in natural language and are important for program comprehension and maintenance. Previous studies proposed some ...

[ISSTA 2023]

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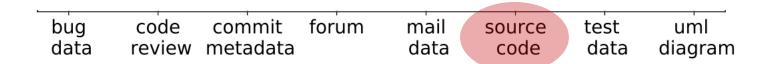
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> bug code commit forum mail source test uml data review metadata data data code data diagram

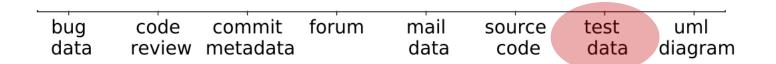
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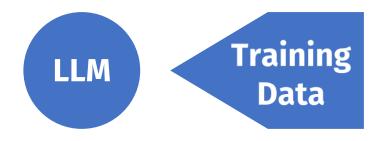
Are we just going to analyze LLM-generated output?

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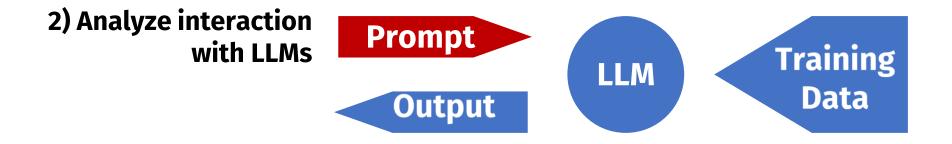
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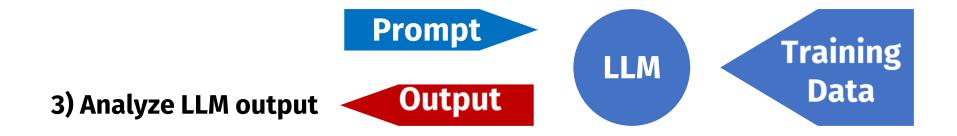


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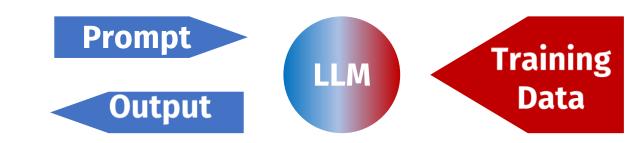
1) Analyze the training data and its impact on the LLM

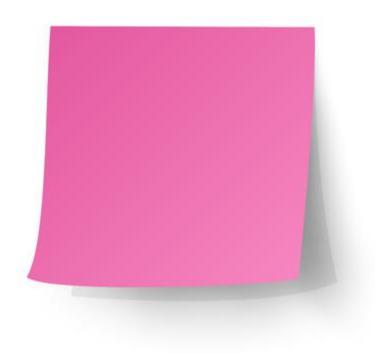






4) The bigger picture?









require high standards and timeliness but offer little substantive development or visibility



Туре	Sub-Category	Examples	Ref
	<i>Requirement-related</i> : tasks that focus on initial stages of software development, i.e., requirement identification, analysis, representation	Identifying constraints, assessing potential problems, requirements classification	[4]
Tasks	General software: tasks that focus on later stages of software development, i.e., user support, testing, code reusability	Code restructuring, dead code removal, code inspections, personal debugging, user documentation, on-line help, tutorial production, user training	[5]
10383	Information-seeking: tasks that involve seeking information Clerical: tasks that can be completed using a routine procedure Intellectual: tasks that require non-routine thought processes Software: tasks related to bug fixing, documentation, or providing new functionality or extending any previous feature	Browsing web, documentation, articles or FAQs, asking coworkers Generating reports/documents, storing design versions, maintaining changes Requirement elicitation, requirement classification, estimate tasks/projects Defects, support tasks, enhancements	[6] [7] [7] [3]
	Development/coding: activities related to code-writing tasks	Coding, reading/reviewing code, editing code, navigating code, bug-fixing, testing, committing code, submitting pull requests.	[1,8,9]
	Version control: activities related to change management	Reading changes, accepting changes, submitting changes	[8]
	Documentation: activities that involves reading or writing documents	Reading artifacts, editing artifacts, writing artifacts	[8]
Activities	<i>Organizational</i> : activities that involve managing project community, assigning/ un-assigning tasks to developers	Assigning GitHub issue or reviewing pull request	[9]
	<i>Supportive</i> : non-coding activities related to documentation, versioning control, code branch management	Writing documentation/wiki page, managing development branches & releasing or archiving code versions	[9]
	Communicative: activities that involve visible communication	Providing comments on issues, commit, and project milestones	[9]
	Collaboration-heavy: activities that involve working with people	Meetings, emails, networking, helping or mentoring others	[1]
	Other: activities not directly related to development tasks or working with people	Learning and administrative tasks, planning, infrastructure setup	[1]



[Masood et al., IST 2022]

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As a software engineer, she identifies constraints. As a software engineer, she assesses potential problems. As a software engineer, she classifies requirements. As a software engineer, she restructures code. As a software engineer, she removes dead code. As a software engineer, she inspects code. As a software engineer, she performs personal debugging. As a software engineer, she produces user documentation. As a software engineer, she produces on-line help. As a software engineer, she produces tutorials. As a software engineer, she performs user training. As a software engineer, she browses the web. As a software engineer, she browses documentation. As a software engineer, she browses articles. As a software engineer, she browses FAQs. As a software engineer, she asks coworkers. As a software engineer, she generates reports/documents. As a software engineer, she stores design versions. As a software engineer, she maintains changes. As a software engineer, she elicits requirements. As a software engineer, she estimates tasks/projects. As a software engineer, she fixes defects. As a software engineer, she performs support tasks. As a software engineer, she provides enhancements. As a software engineer, she codes. As a software engineer, she reads/reviews code. As a software engineer, she edits code. As a software engineer, she navigates code. As a software engineer, she fixes bugs. As a software engineer, she tests. As a software engineer, she commits code. As a software engineer, she submits pull requests. As a software engineer, she reads changes. As a software engineer, she accepts changes. As a software engineer, she submits changes. As a software engineer, she reads artifacts. As a software engineer, she edits artifacts. As a software engineer, she writes artifacts. As a software engineer, she assigns GitHub issues. As a software engineer, she reviews pull requests. As a software engineer, she writes documentation/wiki pages. As a software engineer, she manages development branches. As a software engineer, she releases code versions. As a software engineer, she archives code versions. As a software engineer, she provides comments on issues. As a software engineer, she provides comments on commits. As a software engineer, she provides comments on project milestones. As a software engineer, she has meetings. As a software engineer, she writes emails. As a software engineer, she networks. As a software engineer, she helps others. As a software engineer, she mentors others. As a software engineer, she learns. As a software engineer, she performs administrative tasks. As a software engineer, she plans. As a software engineer, she performs infrastructure setup.



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As a software engineer, she elicits requirements.

As a software engineer, she tests.



Translate text Translate files 31 languages .pdf, .docx, .pptx					
nglish (detected) 🗸	¢	Finnish 🗸		Glo	ssary
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DeepL Translator

Translate text

31 languages

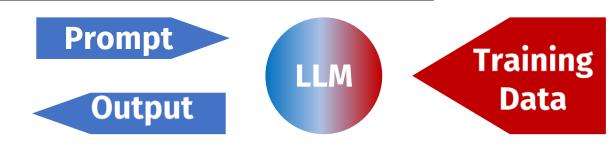
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As a software engineer, he maintains changes. As a software engineer, he or she clarifies requirements. As a software engineer, he/she evaluates tasks/projects. As a software engineer, he/she fixes bugs. As a software engineer, he/she performs support tasks. As a software engineer, he/she makes improvements. As a software engineer, he codes. As a software engineer, he/she reads/evaluates code. As a software engineer, he/she edits code.

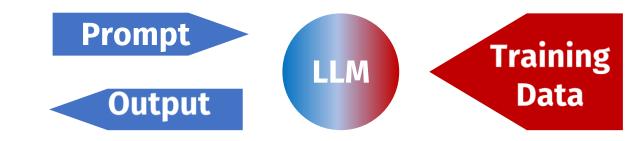


Original Sentence	"she"	"he/she"	"he or she"	"he"
She elicits requirements.	0	51	43	6
She estimates tasks/projects.	0	61	0	39
She performs infrastructure setup.	0	39	14	47
She performs support tasks.	0	44	6	49
She learns.	0	0	0	100
She provides comments on issues.	0	0	0	100
She tests.	0	0	0	100



Heuristics don't address the underlying problem

Lots of other potential biases, e.g., feature prioritization



Heuristics don't address the underlying problem

Consider ethical dilemmas

Lots of other potential biases, e.g., feature prioritization Causal relationships between training data and LLMs



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Nigerian Software Engineer or American Data Scientist? GitHub Profile Recruitment Bias in Large Language Models

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Christoph Treude Singapore Management University Singapore ctreude@smu.edu.sg

Marc Cheong The University of Melbourne Australia marc.cheong@unimelb.edu.au Kenichi Matsumoto Nara Institute of Science and Technology Japan matumoto@is.naist.jp

[Nakano et al., ICSME 2024]

Analyzing the training data & its impact

Heuristics don't address the underlying problem

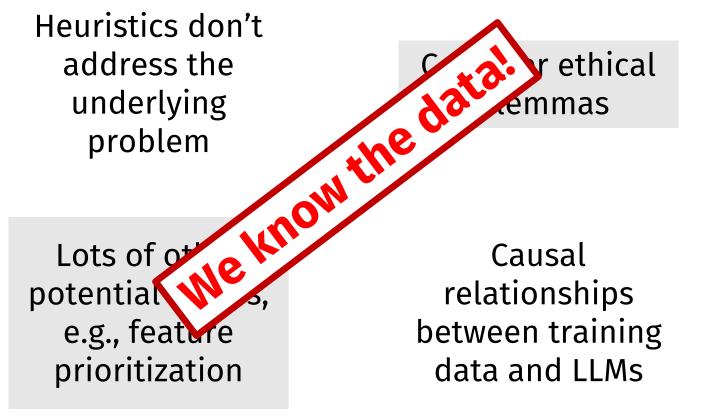
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[Treude and Hata, MSR 2023]

Analyzing the training data & its impact



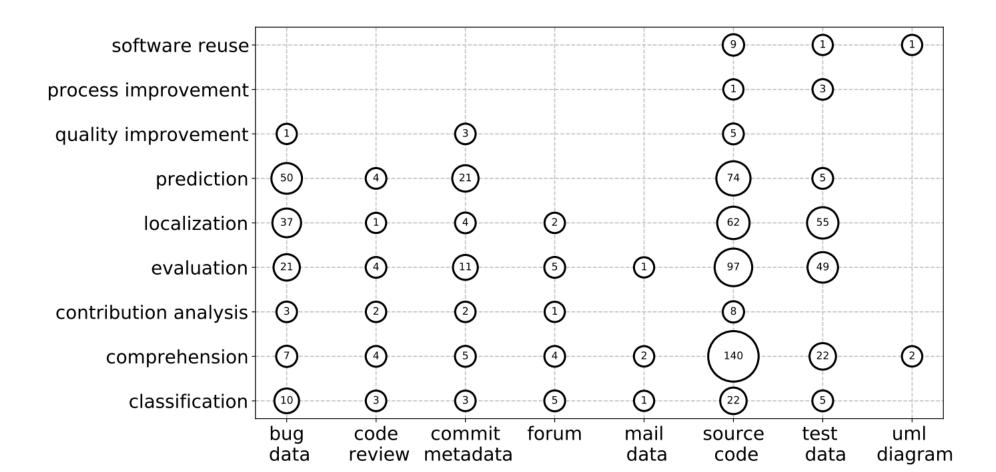


[Treude and Hata, MSR 2023]

Analyzing interactions with LLMs



Analyzing interactions with **LLMs** artifacts



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What's in a bug report?

<u>S Davies, M Roper</u> - Proceedings of the 8th ACM/IEEE International ..., 2014 - dl.acm.org Context: Bug reports are the primary means by which users of a system are able to communicate a problem to the developers, and their contents are important-not only to ...

What are they talking about? Analyzing code reviews in pull-based development model

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Content classification of development emails

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How developers engineer test cases: An observational study

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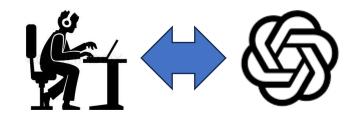


Mining Challenge: DevGPT

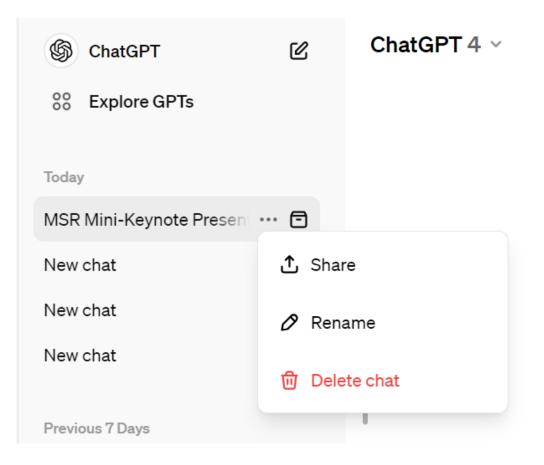


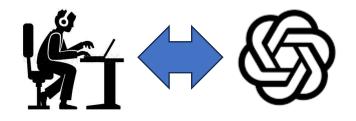


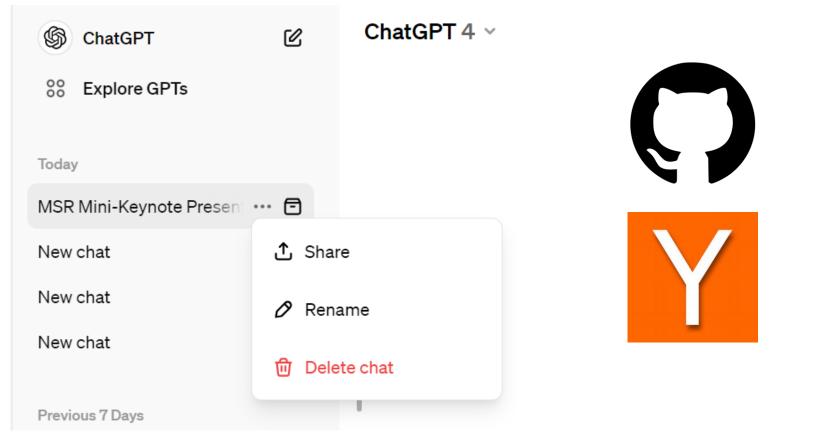
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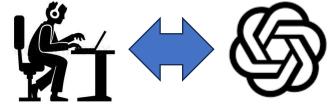




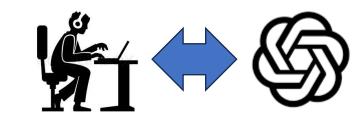








	Links	Prompts	Code Snippets
Source Code	2,708	22,799	14,132
Commits	694	1,922	1,828
Issues	636	2,365	1,739
Pull Requests	301	1,160	975
Discussions	70	259	188
Hacker News	324	1,273	244
	4,733	29,778	19,106



Inf-krechan reviewed on Jul 24, 2023

View reviewed changes

<pre>src/kohlral</pre>	hbi/unfoldedahb/unfoldedahbtable.py Outdated	
29	<pre>299 + if dump_equals_existing_file_except_for_guids:</pre>	
30	0 + ahb_line_schema = AhbLineSchema()	
30	1 + for line, existing_line in zip(flat_ahb.lines, existing_flat_ahb.lines	s):
30	2 + line_copy = ahb_line_schema.load(ahb_line_schema.dump(line))	
 hf-krechan on Jul 24, 2023 • edited • This is a C# pattern for deep copies isn't it? I thought in Python there are methods to create deep copies. *asking chatGPT* ah yes there is a standard lib called copy See https://chat.openai.com/share/4ad4c1ad-6f13-4a21-a0e3-aaf75e17c556 		

Inf-krechan reviewed on Jul 24, 2023

src/kohlrahbi/unfoldedahb/unfoldedahbtable.py (Outdated) if dump equals existing file except for guids: 299 + 300 + ahb line schema = AhbLineSchema() for line, existing line in zip(flat ahb.lines, existing flat ahb.lines): 301 + S 302 + line copy = ahb line schema.load(ahb line schema.dump(line)) hf-krechan on Jul 24, 2023 • edited -Collaborat This is a C# pattern for deep copies isn't it? I thought in Python there are methods to create deep copies. *asking chatGPT* ah yes there is a standard lib called copy See https://chat.openai.com/share/4ad4c1ad-6f13-4a21-a0e3-aaf75e17c556 \odot

View reviewed changes

Anonymous

You are a Python expert. How can I create a deep copy of a variable?

ChatGPT

Certainly! In Python, if you want to create a deep copy of a variable, especially for complex objects like lists or dictionaries that contain nested objects, you should use the `copy` module which provides the `deepcopy` function.

Here's how you can use it:

python		Copy code
import copy		
<pre>original_list = [[1, 2, 3], [4, 5, 6]] deep_copied_list = copy.deepcopy(original_list)</pre>		
<pre># Now, if you modify the nested list inside the original list original_list[0][0] = 99</pre>		
<pre>print(original_list) print(deep_copied_list)</pre>	# Outputs: [[99, 2, 3], [4, 5, 6]] # Outputs: [[1, 2, 3], [4, 5, 6]]	(remains uncl

hf-krechan reviewed on Jul 24, 2023 \odot

				•
S	rc/koh	rahbi/unfoldedahb/unfoldedahbtable.py Outdated	Č	Anony
		<pre>299 + if dump_equals_existing_file_except_for_guids:</pre>		You ar
		<pre>300 + ahb_line_schema = AhbLineSchema()</pre>		How c
		<pre>301 + for line, existing_line in zip(flat_ahb.lines, existing_flat_ahb.l</pre>	ines):	ChatG
		<pre>302 + line_copy = ahb_line_schema.load(ahb_line_schema.dump(line))</pre>	9	
¢	🔿 hf-	krechan on Jul 24, 2023 • edited 👻	Collaborat	Certai object
	Th	s is a C# pattern for deep copies isn't it?		-
	l tł	ought in Python there are methods to create deep copies.		modul
	as	king chatGPT		
	ah	yes there is a standard lib called copy		Here's
	Se	https://chat.openai.com/share/4ad4c1ad-6f13-4a21-a0e3-aaf75e17c556		
	0			pytho
	C			
				imp
30	, de	<pre>lines are equal when ignoring guid(line1: AhbLine, line2: AhbLine) -></pre>		orig
50	bo			deep
31	+			
32		returns true iff the line1 and line2 are equal except for their guid		# No
	+	"""		orig
33	+	linet comu dograamu(linet)		OT I
34	+	<pre>line1_copy = copy.deepcopy(line1)</pre>		
35	+	<pre>line2_copy = copy.deepcopy(line2)</pre>		prir
36	+	line1_copy.guid = None		prin
37	+	line2_copy.guid = None		

return line1_copy == line2_copy 38

View reviewed changes

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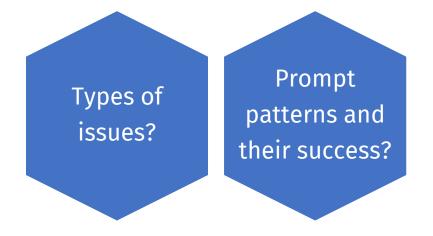
a Python expert. an I create a deep copy of a variable?

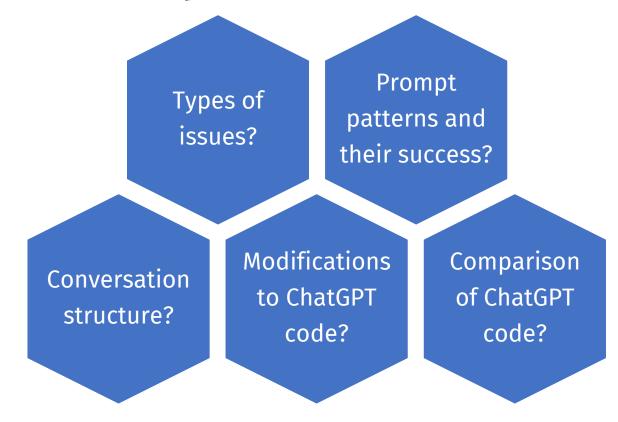
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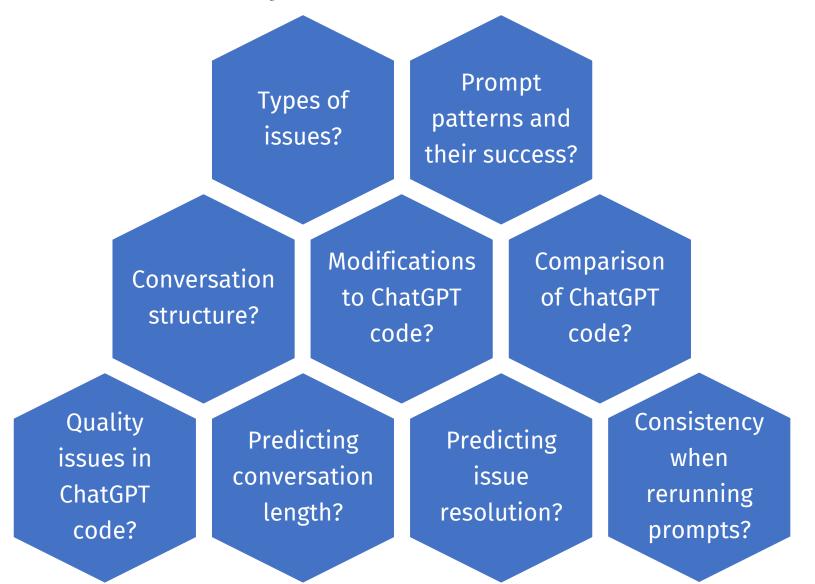
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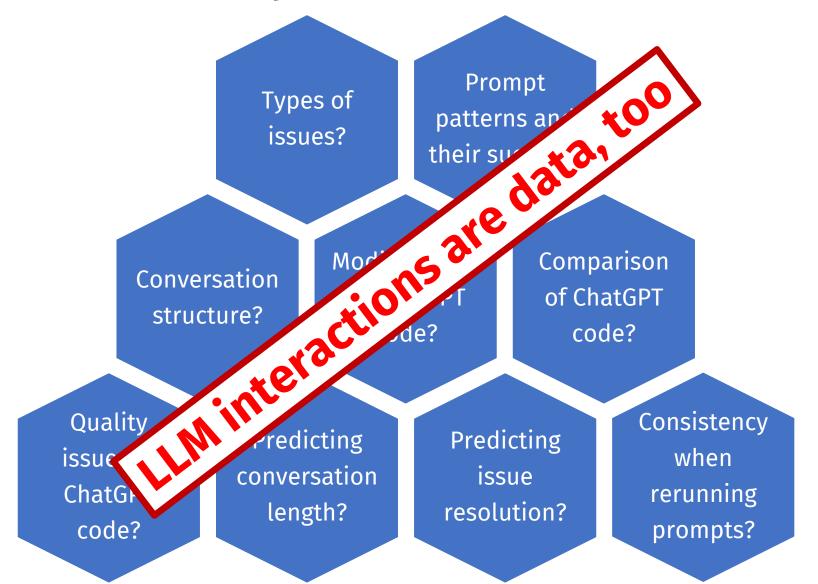
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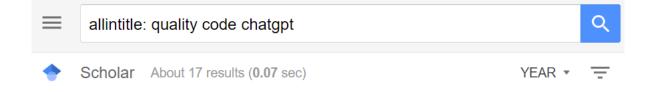
















Refining **ChatGPT**-generated **code**: Characterizing and mitigating **code quality** issues

<u>Y Liu, T Le-Cong, R Widyasari...</u> - ACM Transactions on ..., 2023 - dl.acm.org

... study the **quality** of 4,066 **ChatGPT**-generated **code** implemented in two ... First, we analyze the correctness of **ChatGPT** on **code** ... to more accurate and high-**quality code** generation. In this ... Carbon State Stat

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Write me this Code: An Analysis of ChatGPT Quality for Producing Source Code

Konstantinos Moratis Electrical and Computer Engineering Dept., Aristotle University of Thessaloniki, Themistoklis Diamantopoulos Electrical and Computer Engineering Dept, Aristotle University of Thessaloniki, Dimitrios-Nikitas Nastos Electrical and Computer Engineering Dept., Aristotle University of Thessaloniki, Andreas Symeonidis Aristotle University of Thessaloniki S Pre-print

Quality Assessment of ChatGPT Generated Code and their Use by Developers

Mohammed Latif Siddiq University of Notre Dame, Lindsay Roney University of Notre Dame, Jiahao Zhang , Joanna C. S. Santos University of Notre Dame

🔗 Pre-print 🖪 Media Attached 🖉 File Attached





o-Pilot for Generating

Refining **ChatGPT**-generated **code**: Characterizing and mitigating **code guality** issues

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A Comparison of the Effectiveness of ChatGP Quality Python Code Solutions

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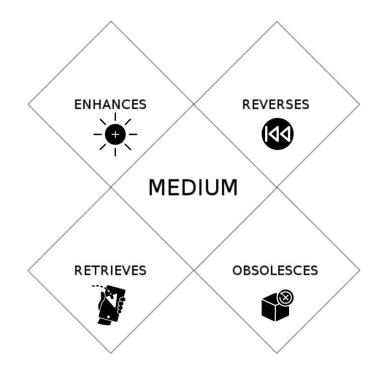
Not just whether you can do it but whether you can do it better and Computer Engineering Dept., Aristotle University of Istoklis Diamantopoulos Electrical and Computer Engineering Dept, Aristotle Dimitrios-Nikitas Nastos Electrical and Computer Engineering Dept., University of Thessaloniki, Andreas Symeonidis Aristotle University of Thessaloniki

Quality Assessment of ChatGPT Generated Code and their Use by

Mohammed Latif Siddig University of Notre Dame, Lindsay Roney University of Notre Dame,

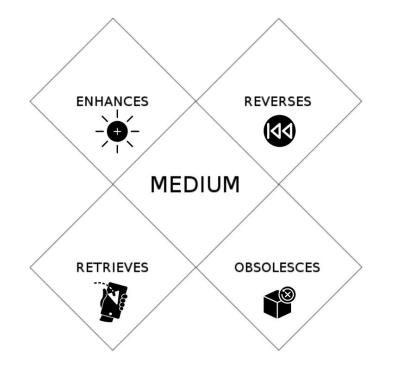








[Storey et al., 2024]

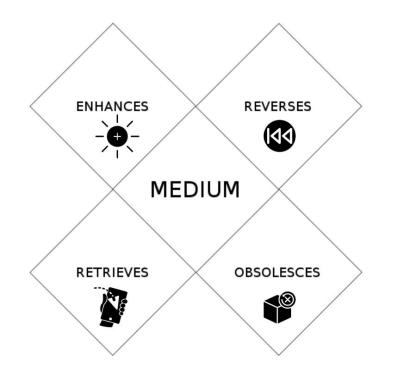


What does the technology ... enhance or amplify?

- ... make obsolete?
- ... retrieve that had been obsolesced earlier?
- ... reverse or flip into when pushed to extremes?



[Storey et al., 2024]



What do we lose from past solutions now rendered obsolete by this technology?

What does the technology ... enhance or amplify?

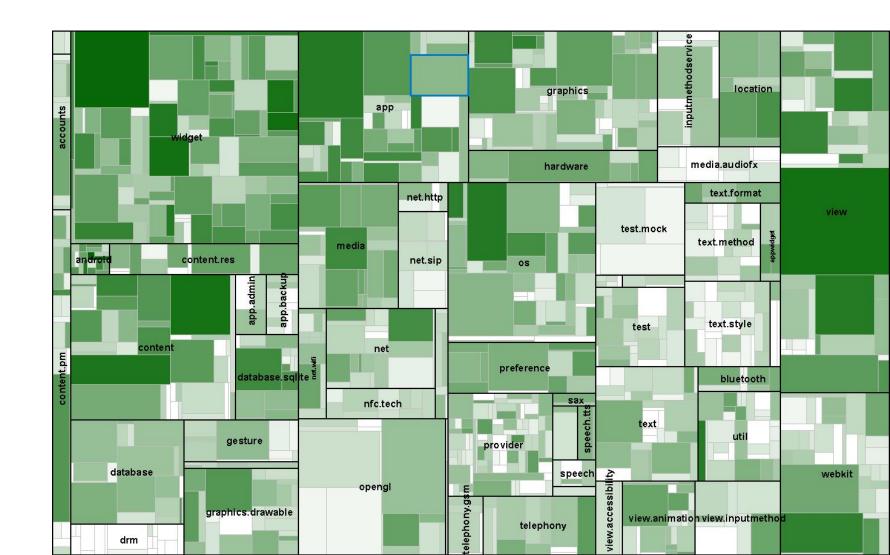
... make obsolete?

... retrieve that had been obsolesced earlier? ... reverse or flip into when pushed to extremes?



[Storey et al., 2024]

Stack Overflow replaces API documentation



[Parnin et al., 2012]

What do we lose from past solutions now rendered obsolete by this technology?



What do we lose from past solutions now rendered obsolete by this technology?

What human nuances are lost in **code** generated by LLMs?

What do we miss from traditional **bug reports** with LLM error identification?

What collaborative and mentorship elements are lost with LLM **code reviews**?

What human insights are lost in **commit** documentation when handled by LLMs?

What human intuition is overlooked in LLM-generated **tests**?

What community aspects are lost when LLMs answer on **Stack Overflow**?



What do we lose from past solutions now rendered solete by this technology?

What human nuances are lost in **code** generated by

error identification? What do we miss from traditional **bug reports**

onn What collaborative and mentorship elem ost with LLM code reviews?

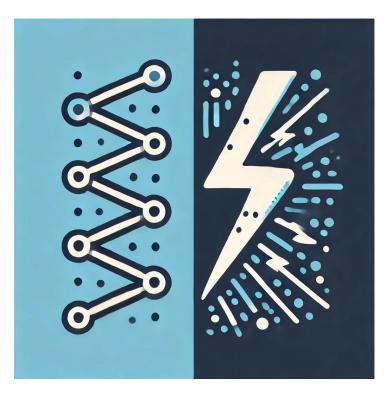
What human insights are lost in **cop** mentation when handled by LLMs?

What human intuition is over M-generated tests?

What community aspects men LLMs answer on **Stack Overflow**?

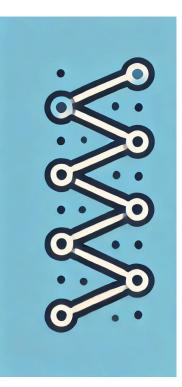


EMSE Research in the Age of LLMs



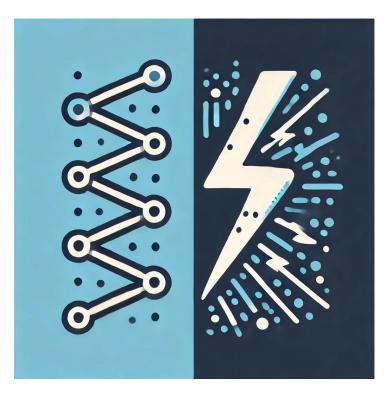


EMSE Research in the Age of LLMs





EMSE Research in the Age of LLMs





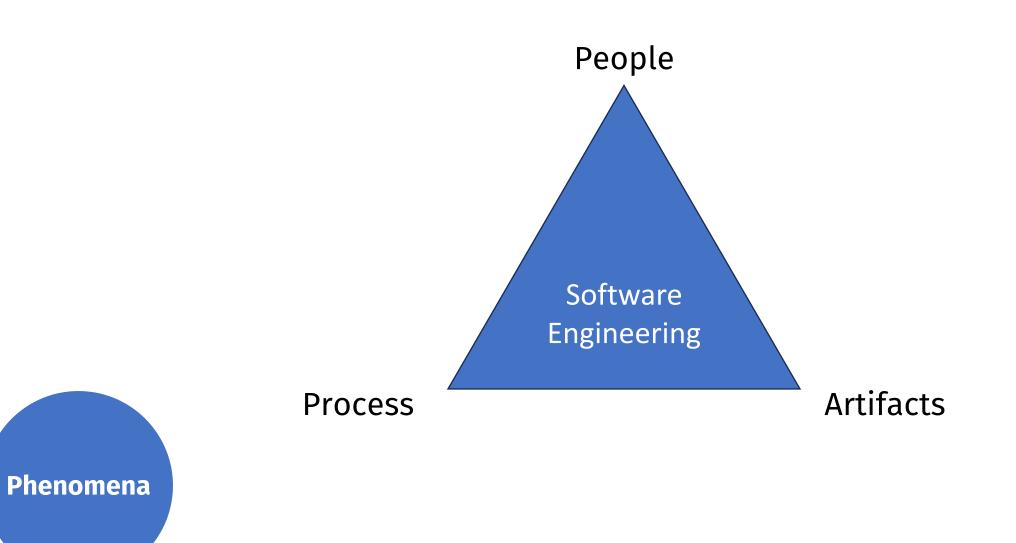
Disruption

Disruption

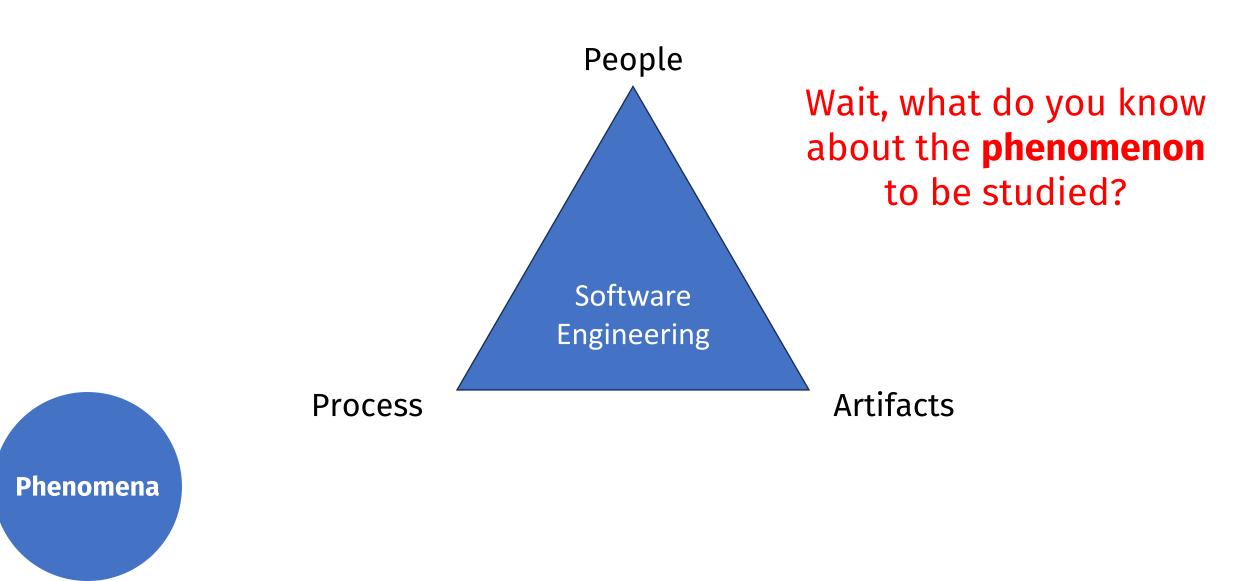




Challenges existing definitions

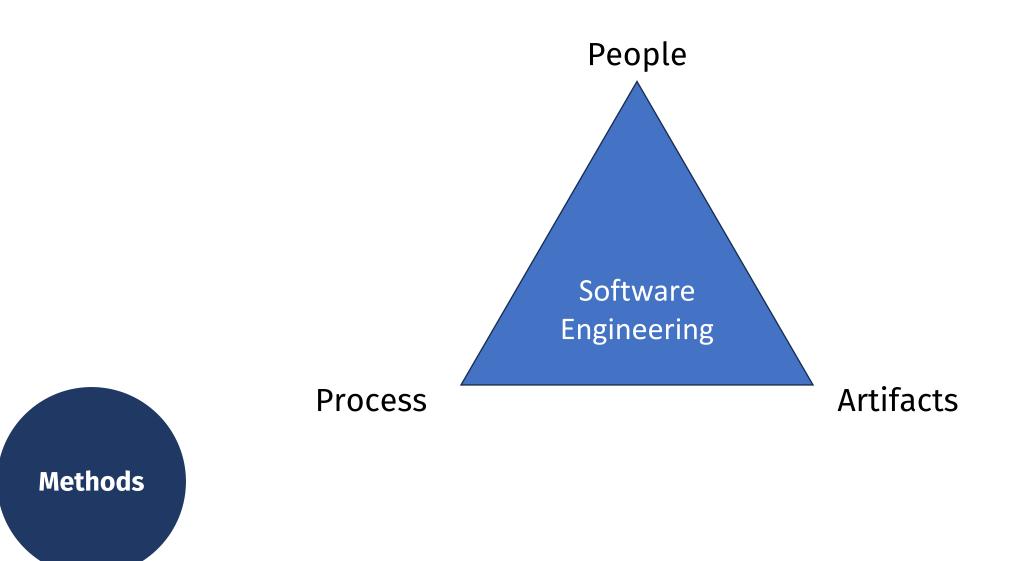


Challenges existing definitions

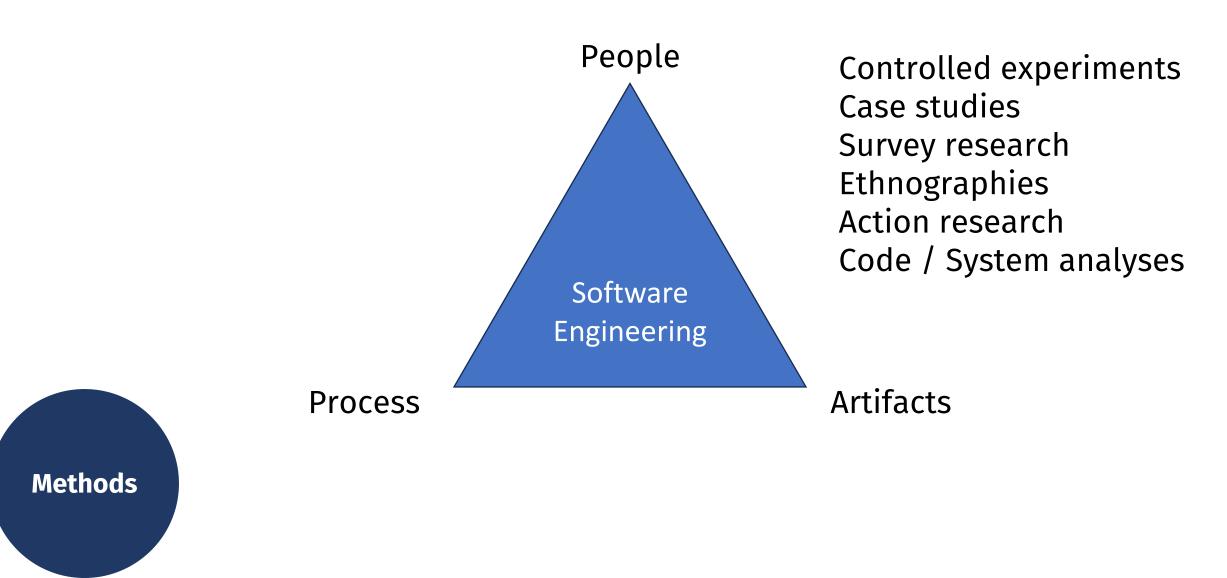


Methods

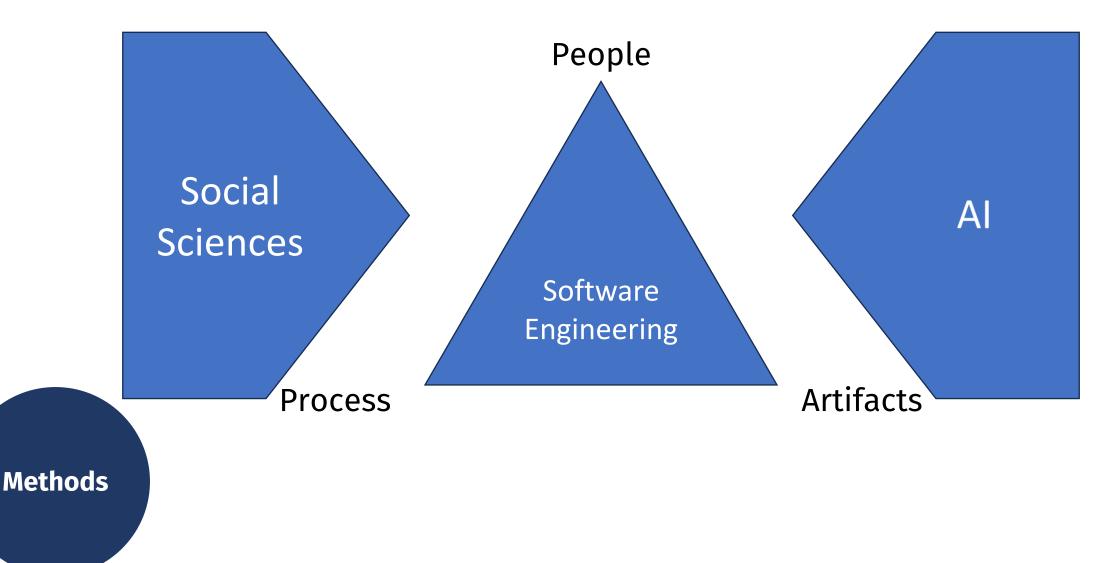
Mixed methods & interdisciplinary work



Mixed methods & interdisciplinary work



Mixed methods & interdisciplinary work



Theories

Understand and frame LLMs' impact

Carnegie Mellon University

Theories

Science of Software Engineering

- Does SE research have impact?
- Science creates impact?
- What sort of science do we need?
- How to move forward?



Carnegie Mellon University

The Science We Need

- Software engineering is in need of a science beyond computer science
- I nominate "human science of software engineering" to fill the role
- We are moving in this direction anyway, let's acknowledge it and speed it up!





Understand and frame LLMs' impact

Carnegie Mellon University

Theories

Science of Software Engineering

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Carnegie Mellon University

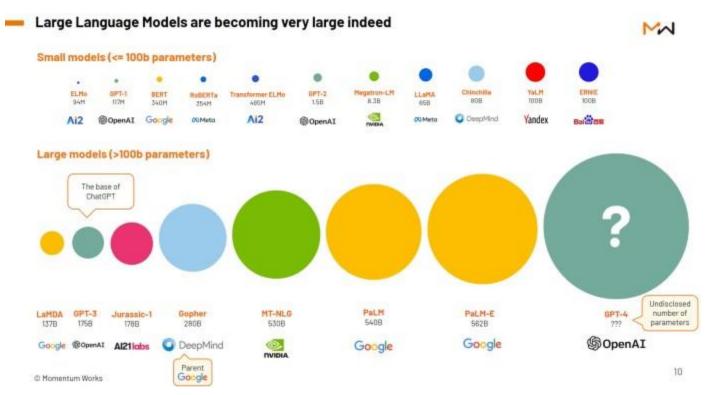
The Science We Need

- Software engineering is in need of a science beyond computer science
- I nominate "human science of software engineering" to fill the role
- We are moving in this direction anyway, let's acknowledge it and speed it up!



Threats

Non-deterministic and rapidly evolving



https://thelowdown.momentum.asia/the-emergence-of-large-language-models-llms/

Threats



Evolving legal and ethical frameworks

AI systems should respect human rights, diversity, and the autonomy of individuals.



Evolving legal and ethical frameworks

AI systems should respect human rights, diversity, and the autonomy of individuals.

Documenting Ethical Considerations in Open Source AI Models

Haoyu Gao The University of Melbourne Victoria, Australia haoyug1@student.unimelb.edu.au Mansooreh Zahedi The University of Melbourne Victoria, Australia mansooreh.zahedi@unimelb.edu.au Christoph Treude Singapore Management University Singapore ctreude@smu.edu.sg

Sarita Rosenstock The University of Melbourne Victoria, Australia sarita.rosenstock@unimelb.edu.au

ABSTRACT

4

 \frown

Background: The development of AI-enabled software heavily depends on AI model documentation, such as model cards, due to

Marc Cheong

The University of Melbourne

Victoria, Australia marc.cheong@unimelb.edu.au

Ethics



□ Compliance with IEEE Policy on Usage of Generative AI *

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Tool

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The Scientific Method **Question 7** Background Experiment Analysis Conclusio

Tool

https://study.com/learn/lesson/scientific-method-example-steps.html

Automated Software Engineering (2024) 31:8 https://doi.org/10.1007/s10515-023-00407-8

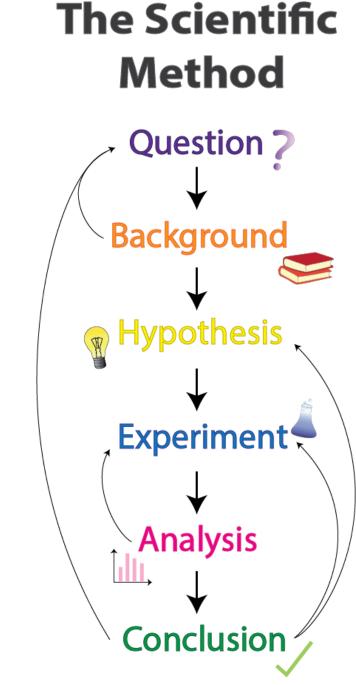
Large language models for qualitative research in software engineering: exploring opportunities and challenges

Muneera Bano¹ · Rashina Hoda² · Didar Zowghi¹ · Christoph Treude³

Received: 15 November 2023 / Accepted: 26 November 2023 / Published online: 21 December 2023 © The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2023

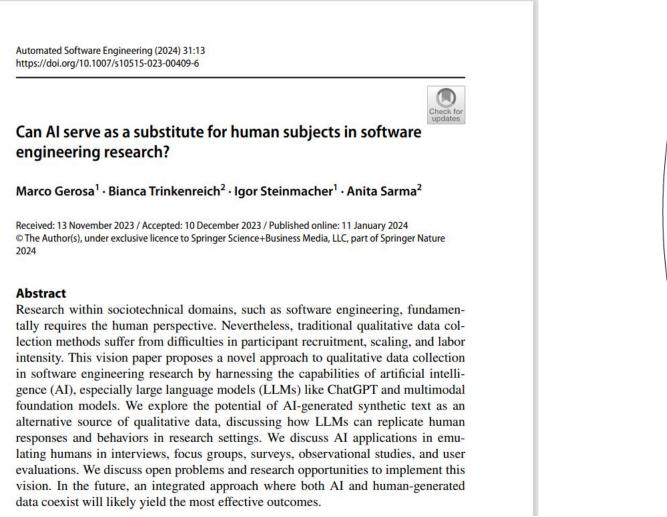
Abstract

The recent surge in the integration of Large Language Models (LLMs) like Chat-GPT into qualitative research in software engineering, much like in other professional domains, demands a closer inspection. This vision paper seeks to explore the opportunities of using LLMs in qualitative research to address many of its legacy challenges as well as potential new concerns and pitfalls arising from the use of LMs. We share our vision for the evolving role of the qualitative researcher in the ge of LLMs and contemplate how they may utilize LLMs at various stages of their escarch experience.



https://study.com/learn/lesson/scientific-method-example-steps.html

Tool



The Scientific Method Question? ↓ Background

Experiment

Analysis

Conclusion

Automated So https://doi.org

Large lar engineei

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Received: 15 N © The Author(: 2023

Abstract

The recent GPT into a sional dom opportuniti challenges LMs. We ge of LLN esearch ex

https://study.com/learn/lesson/scientific-method-example-steps.html

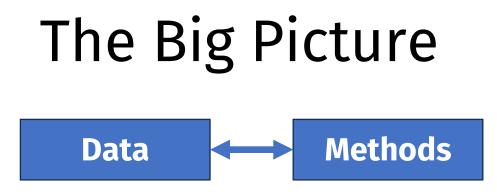
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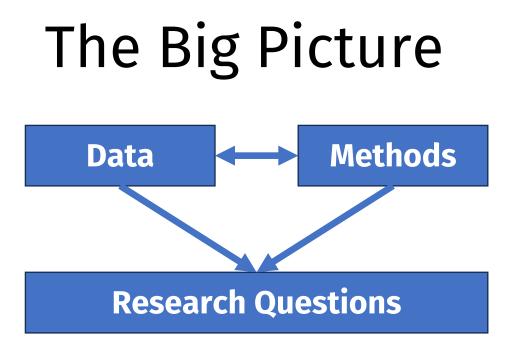
The Big Picture

Data

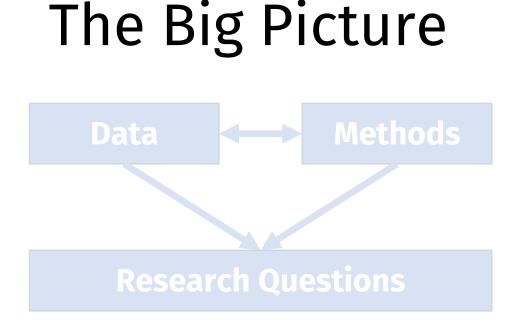
"Empirical software engineering is the study of **software-related artifacts** for the characterization, understanding, evaluation, prediction, control, management, or improvement through qualitative or quantitative analysis." [Harrison and Basili]



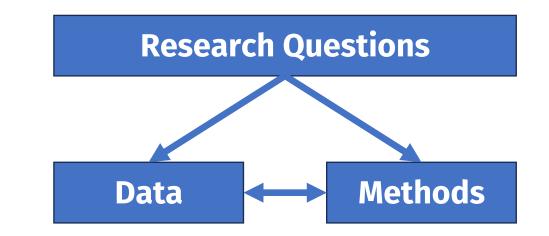
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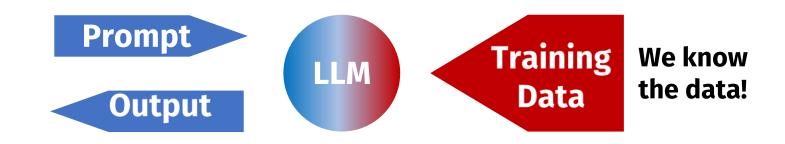


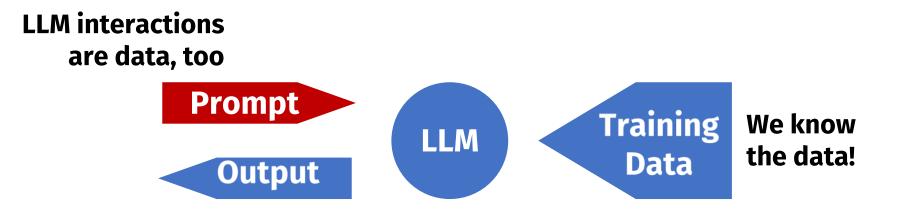
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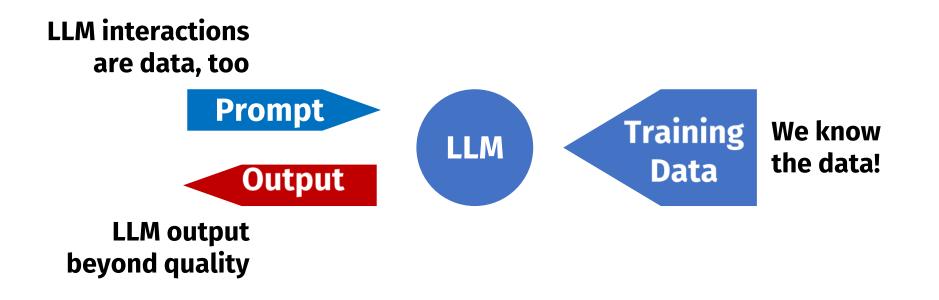


"Quantifying the evidence or making sense of it in qualitative form, a researcher can answer **empirical questions**, which should be clearly defined and answerable with the evidence collected (usually called data)." [Wikipedia]

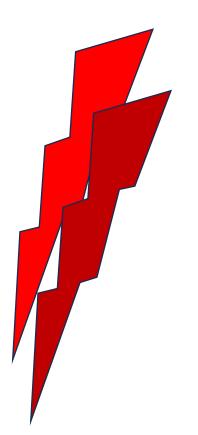






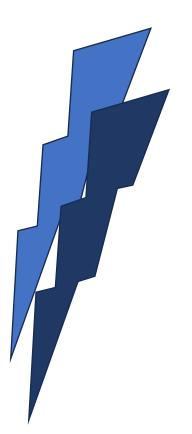






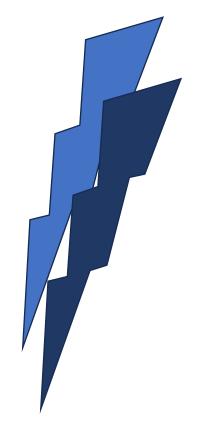
Let's start with the research questions!





Let's start with the research questions!





ctreude@smu.edu.sg